

01-04-02

A

Please type a plus sign (+) inside this box → ☐Adapted From PTO/SB/05 (4/98)  
Approved for use through 09/30/2000 OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|                                                                                                                                      |                                          |                                                                     |
|--------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|---------------------------------------------------------------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><i>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</i> | Attorney Docket No.                      | 22033-1-0010                                                        |
|                                                                                                                                      | First Inventor or Application Identifier | PALOMO, et al.                                                      |
|                                                                                                                                      | Title                                    | Computer-Implemented System and Method for Productivity Enhancement |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>APPLICATION ELEMENTS</b><br><i>See MPEP chapter 600 concerning utility patent application contents.</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.<br>3. <input checked="" type="checkbox"/> Specification [Total Pages <input type="text" value="33"/> ]<br>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input type="text" value="5"/> ]<br>5. Oath or Declaration [Total Pages <input type="text" value="3"/> ]<br>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))<br>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).<br>6. <input type="checkbox"/> Application Data Sheet. See 37CFR 1.76 | 7. <input type="checkbox"/> Microfiche Computer Program (Appendix)<br>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies<br><b>ACCOMPANYING APPLICATION PARTS</b><br>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)<br>11. <input type="checkbox"/> English Translation Document (if applicable)<br>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations<br>13. <input type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)<br>15. <input type="checkbox"/> Small Entity Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)<br>16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)<br>17. <input type="checkbox"/> Other: |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____<br>Prior application information: Examiner _____ Group / Art Unit: _____<br>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>19. CORRESPONDENCE ADDRESS</b><br>Address all Correspondence to: Lott & Friedland, P.A. (Cust. No. 26135)<br>Attn: Todd A. Serbin<br>P.O. Box 141098<br>Coral Gables, FL 33114-1098                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |

|                   |                |                                   |          |
|-------------------|----------------|-----------------------------------|----------|
| Name (Print/Type) | Todd A. Serbin | Registration No. (Attorney/Agent) | 45,087   |
| Signature         |                | Date                              | 12/26/01 |

|                                                                                                                                                                                                                                                                             |             |                        |                 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|------------------------|-----------------|
| <b>CERTIFICATE OF MAILING</b>                                                                                                                                                                                                                                               |             |                        |                 |
| I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Comm. of Patents & Trademarks, Washington, DC 20231. |             |                        |                 |
| Printed or Typed Name                                                                                                                                                                                                                                                       | Jaclyn Capo | Express Mail Label No. | EV 015637845 US |
| Signature                                                                                                                                                                                                                                                                   |             | Date                   | 12/26/01        |

JC891 U.S. PTO  
12/26/01

10036008 122601

JC891 U.S. PTO  
10/036008  
12/26/0126135  
USPTO Cust No

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,015.00

## Complete if Known

|                      |                |
|----------------------|----------------|
| Application Number   | N/A            |
| Filing Date          | 12/26/2001     |
| First Named Inventor | Palomo, Andrew |
| Examiner Name        | N/A            |
| Group Art Unit       | N/A            |
| Attorney Docket No.  | 22033-1-0010   |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 12-2155
- Deposit Account Name: LOTT & FRIEDLAND, P.A.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|------------------------|----------|
| 101               | 740                   | 201               | 370                   | Utility filing fee     | \$370.00 |
| 106               | 330                   | 206               | 165                   | Design filing fee      |          |
| 107               | 510                   | 207               | 255                   | Plant filing fee       |          |
| 108               | 740                   | 208               | 370                   | Reissue filing fee     |          |
| 114               | 160                   | 214               | 80                    | Provisional filing fee |          |

SUBTOTAL (1) (\$ 370.00

### 2. EXTRA CLAIM FEES

Total Claims: 73 - 20\*\* = 53 X \$9.00 = \$477.00

Independent Claims: 7 - 3\*\* = 4 X \$42.00 = \$168.00

Multiple Dependent: ☐ = ☐

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description                                            |
|-------------------|-----------------------|-------------------|-----------------------|------------------------------------------------------------|
| 103               | 18                    | 203               | 9                     | Claims in excess of 20                                     |
| 102               | 84                    | 202               | 42                    | Independent claims in excess of 3                          |
| 104               | 280                   | 204               | 140                   | Multiple dependent claim, if not paid                      |
| 109               | 84                    | 209               | 42                    | ** Reissue independent claims over original patent         |
| 110               | 18                    | 210               | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 645.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

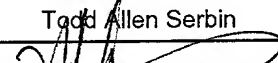
| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description                                                            | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|----------------------------------------------------------------------------|----------|
| 105               | 130                   | 205               | 65                    | Surcharge - late filing fee or oath                                        |          |
| 127               | 50                    | 227               | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139               | 130                   | 139               | 130                   | Non-English specification                                                  |          |
| 147               | 2,520                 | 147               | 2,520                 | For filing a request for ex parte reexamination                            |          |
| 112               | 920*                  | 112               | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 113               | 1,840*                | 113               | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115               | 110                   | 215               | 55                    | Extension for reply within first month                                     |          |
| 116               | 400                   | 216               | 200                   | Extension for reply within second month                                    |          |
| 117               | 920                   | 217               | 460                   | Extension for reply within third month                                     |          |
| 118               | 1,440                 | 218               | 720                   | Extension for reply within fourth month                                    |          |
| 128               | 1,960                 | 228               | 980                   | Extension for reply within fifth month                                     |          |
| 119               | 320                   | 219               | 160                   | Notice of Appeal                                                           |          |
| 120               | 320                   | 220               | 160                   | Filing a brief in support of an appeal                                     |          |
| 121               | 280                   | 221               | 140                   | Request for oral hearing                                                   |          |
| 138               | 1,510                 | 138               | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140               | 110                   | 240               | 55                    | Petition to revive - unavoidable                                           |          |
| 141               | 1,280                 | 241               | 640                   | Petition to revive - unintentional                                         |          |
| 142               | 1,280                 | 242               | 640                   | Utility issue fee (or reissue)                                             |          |
| 143               | 460                   | 243               | 230                   | Design issue fee                                                           |          |
| 144               | 620                   | 244               | 310                   | Plant issue fee                                                            |          |
| 122               | 130                   | 122               | 130                   | Petitions to the Commissioner                                              |          |
| 123               | 50                    | 123               | 50                    | Processing fee under 37 CFR 1.17(q)                                        |          |
| 126               | 180                   | 126               | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581               | 40                    | 581               | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146               | 740                   | 246               | 370                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149               | 740                   | 249               | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179               | 740                   | 279               | 370                   | Request for Continued Examination (RCE)                                    |          |
| 169               | 900                   | 169               | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00

## SUBMITTED BY

|                   |                                                                                     |                                   |          |           |                |
|-------------------|-------------------------------------------------------------------------------------|-----------------------------------|----------|-----------|----------------|
| Name (Print/Type) | Todd Allen Serbin                                                                   | Registration No. (Attorney/Agent) | 45,087   | Telephone | (305) 448-7089 |
| Signature         |  | Date                              | 12/26/01 |           |                |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.